

A dual response surface optimization methodology for achieving uniform coating thickness in powder coating process

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ABSTRACT

The powder coating is an economic, technologically superior and environment friendly painting technique compared with other conventional painting methods. However large variation in coating thickness can reduce the attractiveness of powder coated products. The coating thickness variation can also adversely affect the surface appearance and corrosion resistivity of the product. This can eventually lead to customer dissatisfaction and loss of market share. In this paper, the author discusses a dual response surface optimization methodology to minimize the thickness variation around the target value of powder coated industrial enclosures. The industrial enclosures are cabinets used for mounting the electrical and electronic equipment. The proposed methodology consists of establishing the relationship between the coating thickness & the powder coating process parameters and developing models for the mean and variance of coating thickness. Then the powder coating process is optimized by minimizing the standard deviation of coating thickness subject to the constraint that the thickness mean would be very close to the target. The study resulted in achieving a coating thickness mean of 80.0199 microns for industrial enclosures, which is very close to the target value of 80 microns. A comparison of the results of the proposed approach with that of existing methodologies showed that the suggested method is equally good or even better than the existing methodologies. The result of the study is also validated with a new batch of industrial enclosures.

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1. Introduction

The industrial enclosures are cabinets used for mounting the electrical and electronic equipment. The enclosures protect the equipment from outside environment and adverse weather conditions. The enclosures can also protect the user from electromagnetic interferences (Chen et al., 2008). In many situations, only the enclosure will be visible to the users. Hence the appearance of the enclosures should be attractive to the customers. The enclosure painting process is a very important step in enclosure manufacturing process. The enclosures are generally painted using powder coating method. The powder coating, as a painting technique, does not require any solvent and is applied as free flowing dry powder. The solvent emission is considered as a major problem in surface coating industry. Hence powder coating has superior techno-economic benefits (Naderi et al., 2004). It creates a hard finish. The first step in

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powder coating is the preparation of the surface to be coated. This involves removal of oil, greases, etc. from the surface. The common methods for surface preparation are degreasing, etching, rinsing, etc. After the surface is prepared, it is heated and the powder is sprayed to the metal surface using an electrostatic gun. The powder melts to form a uniform film and is then cooled or cured to form a hard coating.

The coating thickness is an important quality characteristic of powder coating process. It affects the mechanical and physical properties of the coated surface. If the coating thickness is not uniform across the surface, it would impact the hardness, surface appearance and corrosion resistivity of the enclosures. A company manufacturing industrial enclosures is facing the serious problem of coating thickness variation in the powder coated enclosures. This reduced the attractiveness of the enclosures and also resulted in customer dissatisfaction. Hence this study is undertaken to develop a methodology to reduce the variation in coating thickness around the target for industrial enclosures.

The remaining part of the paper is arranged as follows. The methodology is discussed in session 2. The data collection and analysis is given in session 3. The session 4 provides the optimization details. The results are discussed in session 5 and validated in session 6. The conclusions are given in session 7.

2. Methodology

There are many approaches for achieving the target value of a response variable. Most of these approaches are based on response surface methodology (Box & Draper, 1987). Response surface methodology (RSM) is a collection of statistical and mathematical techniques for improving and optimizing processes (Mayers et al., 2009). The RSM identifies the best settings for a set of input or design variables that would optimize the response y (Box & Wilson, 1951; Sahoo et al., 2013). Lots of work, both theoretical and applied, have been carried out in the recent past in the area of response surface methodology (Khuri & Mukhopadhyay, 2010; Bezerr et al., 2008; Baş & Boyacı, 2007; Liyana-Pathirana & Shahidi, 2005; Noordin, 2004; Öktem, 2005; Barton, 2013). The main emphasis of RSM is on optimizing the estimated mean of the response variable (Ding et al., 2004). The mean is estimated using a polynomial model given in Eq. (1).

$$\hat{y}_\mu = a_0 + \sum_{i=1}^k a_i x_i + \sum_{i=1}^k a_{ii} x_i^2 + \sum_{i < j}^k a_{ij} x_i x_j \quad (1)$$

where \hat{y}_μ is the estimated value of the mean of the response y and x_i , $i = 1, 2, \dots, k$, are the exploratory variables. Using Eq. (1), the optimum values of x_i 's, which would bring \hat{y}_μ close to the target is then determined. But the optimum x_i 's may not minimize or change the variance. In RSM and traditional industrial experimentation, it is assumed that the variance is constant. But the assumption on constant variance doesn't hold well in many industrial scenarios. Hence it is required to simultaneously optimize multiple responses, namely mean and variance of the response variable (Taguchi, 1986; Phadke, 1995). The most efficient methodology for simultaneous optimization of the mean and variance is dual response surface methodology (Myers & Cartel, 1973). In dual RSM, along with the model for estimating the mean of the response variable, another polynomial model for estimating the standard deviation is also developed as shown in Eq. (2).

$$\hat{y}_\sigma = b_0 + \sum_{i=1}^k b_i x_i + \sum_{i=1}^k b_{ii} x_i^2 + \sum_{i < j}^k b_{ij} x_i x_j \quad (2)$$

where \hat{y}_σ is the estimated value of the standard deviation of the response y and x_i , $i = 1, 2, \dots, k$, are the exploratory variables. Then both the responses (mean and variance) are optimized, simultaneously.

Several methods have been proposed for the simultaneous optimization of mean and variance of the response variable. The important among them are

- Vining and Mayers (VM) method (Vining & Myers, 1990)
- Lin and Tu (LT) method (Lin & Tu, 1995)
- Copeland and Nelson (CN) method (Copeland & Nelson, 1996)

- Quality loss function (QLP) method (Ames et al., 1997).

In VM method, one of the responses is taken as the primary response and the other one as a constraint. The VM approach is to

$$\begin{aligned} \min \hat{y}_\sigma \\ \text{subject to } \hat{y}_\mu = T \end{aligned} \quad (3)$$

where \hat{y}_μ and \hat{y}_σ are estimated mean and standard deviation of the response variable y obtained using Eq. (1) and Eq. (2). T is the target value for y . Del Castillo and Montgomery (1993) showed that the VM problem can be solved using Excel solver (Brown, 2001). The Excel solver uses generalized reduced gradient algorithm for solving optimization problems. Still many researchers encountered the problem of not getting a feasible solution to the dual response optimization problem using VM method. This is because the VM method tries to find out an optimum solution which forcefully ensures the mean exactly on target.

The LT method proposes to solve the dual response optimization problem by minimizing the mean square error (MSE). The LT method is to

$$\min MSE = (\hat{y}_\mu - T)^2 + \hat{y}_\sigma^2 \quad (4)$$

where \hat{y}_μ and \hat{y}_σ are estimated mean and standard deviation of the response variable y obtained using Eq. (1) and Eq. (2). T is the target value for y . The problem with LT method is that it may minimize the MSE without bringing \hat{y}_μ close to the target T . This is because the LT method does not have an upper limit or restriction on the deviation of \hat{y}_μ from the target T .

The aforementioned problem is taken care in CN method. The CN method is to

$$\begin{aligned} \min \hat{y}_\sigma \\ \text{subject to } (\hat{y}_\mu - T)^2 \leq \Delta^2, \end{aligned} \quad (5)$$

where Δ is the maximum allowed deviation of estimated mean \hat{y}_μ from the specified target value. The CN method is considered to be logically sounder among the aforementioned three methods. But the CN method is also not free from problems. The presence of higher order polynomials in the constraint sometimes makes it difficult to obtain the global optimum solution using commonly used optimization programs like Excel solver.

An alternative approach suggested is to minimize the quality loss function (referred as QLP method). Many papers on a wide variety of applications of Taguchi's loss function is published in the recent past (Liao & Kao, 2010; Pi & Low, 2006; Antony, 2000; Antony, 2001; Wu, 2004; Kethley, 2002; Chan & Ibrahim, 2004; Cho & Cho, 2008; John, 2012). The QLP method is to

$$\min QLP = w_\mu (\hat{y}_\mu - T_\mu)^2 + w_\sigma (\hat{y}_\sigma - T_\sigma)^2, \quad (6)$$

where \hat{y}_μ and \hat{y}_σ are the estimated mean and standard deviation of the response variable, w_μ and w_σ are the weights assigned to mean and standard deviation of the response and T_μ and T_σ are the respective target values for mean and standard deviation of the response variable. The problem with QLP method is that the solution would be influenced by the weights w_μ and w_σ .

In this study, the author has used the CN method and the problem of higher order polynomials in the constraints is handled by slightly modifying the CN method. The methodology is a simplified version of the CN method. The step by step details of the proposed methodology is given below:

1. Identify the control variables or factors x_i 's, $i = 1, 2, \dots, k$.
2. Identify the important factors among x_i 's which significantly influence the response variable through design of experiments.
3. Develop the models for estimating the mean \hat{y}_μ and the standard deviation \hat{y}_σ of the response variable y .
4. Identify the optimum values of x_i 's which would simultaneously optimize the mean and standard deviation of response y by

$$\begin{aligned} &\min \hat{y}_\sigma \\ &\text{subject to } (T - \Delta) \leq \hat{y}_\mu \leq (T + \Delta), \end{aligned} \quad (7)$$

where Δ is the maximum allowed deviation of estimated mean \hat{y}_μ from the specified target value T . The optimization problem (7) can be easily solved using the generalized reduced gradient algorithm of Excel solver (Fylstra et al., 1998).

3. Data collection and analysis

Through discussions with the technical personals and surface coating experts of the company four variables namely oven temperature (in $^{\circ}\text{C}$), curing time (in minutes), conductivity (in micro seimens) and powder output (in grams per second) of the powder coating process are identified as factors for the study. The coating thickness (in microns) is chosen as the response variable. The effect of the factors on the coating thickness is studied using design of experiments. The design of experiments is an efficient tool for optimizing the process and product characteristics (Chowdhury & Boby, 2003; Surm et al., 2005; Wang et al., 2008; Bhuiyan, 2011; Sahoo & Sahoo, 2011; Boby, 2013; Kirshna et al., 2013; Saha & Mandal, 2013; Sahoo, 2014). Since response surfaces need to be fitted for mean and variance of the response variable, a central composite design (CCD) is chosen for experimentation (Alam et al., 2008). The central composite designs have less number of experiments compared to 3 level full factorial experiments. The CCDs are factorial experiments augmented with additional central and axial points. The factors with the levels, central points and axial points are given in Table 1.

Table 1

Factors with levels

Factor Name	Code	Levels		Central Point	Axial Points	
		-1	+1	0	-2	2
Oven Temperature	x_1	185	200	192.5	177.5	207.5
Curing Time	x_2	10	12	11	9	13
Conductivity	x_3	1500	1800	1650	1350	1950
Powder Output	x_4	32	34	33	31	35

The experiments are conducted as per the design and the response, coating thickness is measured. Each experiment is replicated twice. The experimental layout with the mean and variance of the response is given in Table 2. The mean of the response is subjected to analysis of variance (Box, 2009). The ANOVA table is given in Table 3.

The ANOVA table showed that the regression is significant (p value = $0.00 < 0.05$) at 5 % level. The ANOVA table also revealed that the square terms (p value = $0.977 > 0.05$) and interaction terms (p value = $0.984 > 0.05$) are insignificant. Hence the linear model is adequate. Moreover the lack of fit (p value = $0.953 > 0.05$) is insignificant indicating that the linear model is a good fit. The coefficients of the significant factors are given in Table 4. The residual plots are given in Fig. 1.

Table 2
Experimental layout with response mean and variance

Exp No	Oven Temperature	Curing Time	Conductivity	Powder Output	Thickness	
					Mean	Variance
1	185	10	1500	32	80.5	12.5
2	200	10	1500	32	81.5	12.5
3	185	12	1500	32	79.5	4.5
4	200	12	1500	32	81	8.00002
5	185	10	1800	32	89	8.00002
6	200	10	1800	32	90.5	12.5
7	185	12	1800	32	88	8.00002
8	200	12	1800	32	89	8.00002
9	185	10	1500	34	107	8.00002
10	200	10	1500	34	108.5	12.5
11	185	12	1500	34	106	8.00002
12	200	12	1500	34	107.5	12.5
13	185	10	1800	34	116	8.00002
14	200	10	1800	34	117	8.00002
15	185	12	1800	34	115	8.00002
16	200	12	1800	34	116.5	4.5
17	177.5	11	1650	33	96.5	4.5
18	207.5	11	1650	33	99.5	12.5
19	192.5	9	1650	33	99.5	12.5
20	192.5	13	1650	33	97	1.99999
21	192.5	11	1350	33	90	8.00002
22	192.5	11	1950	33	106.5	4.5
23	192.5	11	1650	31	72	8.00002
24	192.5	11	1650	35	124.5	4.5
25	192.5	11	1650	33	98.5	4.5
26	192.5	11	1650	33	97.5	4.5
27	192.5	11	1650	33	98.5	4.5
28	192.5	11	1650	33	97.5	4.5
29	192.5	11	1650	33	99	8.00002
30	192.5	11	1650	33	98	8.00002
31	192.5	11	1650	33	99	8.00002

Table 3
ANOVA table for thickness mean

Source	DF	SS	MS	F	p
Regression	14	4709.28	336.38	1471.41	0.00
Linear	4	4708.96	1177.24	5149.59	0.00
Square	4	0.1	0.03	0.11	0.977
Interaction	6	0.22	0.04	0.16	0.984
Residual Error	16	3.66	0.23		
Lack-of-Fit	10	1.23	0.12	0.3	0.953
Pure Error	6	2.43	0.4		
Total	30	4712.94			

Table 4
Coefficient table for thickness mean

	Code	Coefficients	Standard Error	t Stat	P-value
Intercept		-399.950269	3.561889813	-112.29	0
Oven Temp	x_1	0.091666667	0.010644676	8.6115	0
Curing Time	x_2	-0.520833333	0.079835072	-6.5239	0
Conductivity	x_3	0.028472222	0.000532234	53.4957	0
Powder Output	x_4	13.3125	0.079835072	166.75	0

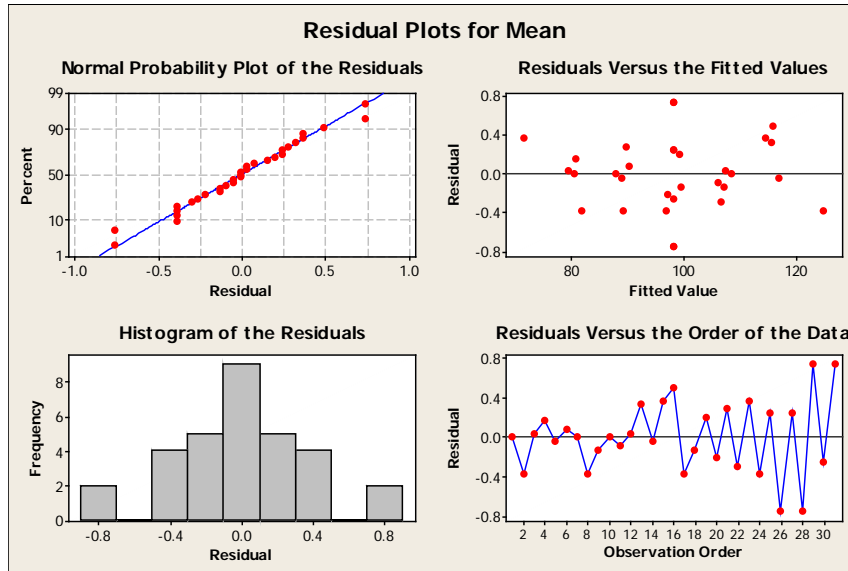


Fig. 1. Residual plots for thickness mean

The residual plot showed that the residuals are approximately normally distributed and there is no trend or pattern in the residual versus order of the data or residual versus the fitted values (Montgomery, 2012). Hence the model for the mean of the coating thickness is identified as

$$\hat{y}_{\mu} = -399.950269 + 0.0916667x_1 - 0.52083333x_2 + 0.028472222x_3 + 13.3125x_4 \quad (8)$$

Similarly the variance of the response is subjected to analysis of variance. The ANOVA table for variance is given in Table 5.

Table 5

ANOVA table for thickness variance

Source	DF	SS	MS	F	P
Regression	14	198.23	14.159	2.56	0.037
Linear	4	131.04	32.76	5.92	0.004
Square	4	36.35	9.087	1.64	0.213
Interaction	6	30.84	5.141	0.93	0.501
Residual Error		16	88.6	5.538	
Lack-of-Fit	10	67.6	6.76	1.93	0.217
Pure Error		6	21	3.5	
Total	30	286.84			

The ANOVA table shows the regression is significant (p value = 0.037 < 0.05) at 5 % level and the lack of fit (p value = 0.2176 > 0.05) is insignificant indicating that the regression model is a good fit. The coefficients of the significant factors are given in Table 6.

Table 6

Coefficient table for thickness variance

	Code	Coefficients	Standard Error	t Stat	P-value
Intercept		535.3152619	294.7195892	1.81635	0.08044
Oven Temperature	x_1	-5.45348197	3.06295487	-1.7805	0.08626
Curing Time	x_2	-1.7291612	0.495350216	-3.4908	0.00167
Oven Temperature ²	x_1^2	0.014590571	0.007953877	1.8344	0.07764

Table 6 revealed that the factor namely curing time (x_2) is significant at 5% level and the oven temperature (x_1) and over temperature² (x_1^2) are significant at 10% level (p value < 0.10). The residual plots are given in Fig. 2.

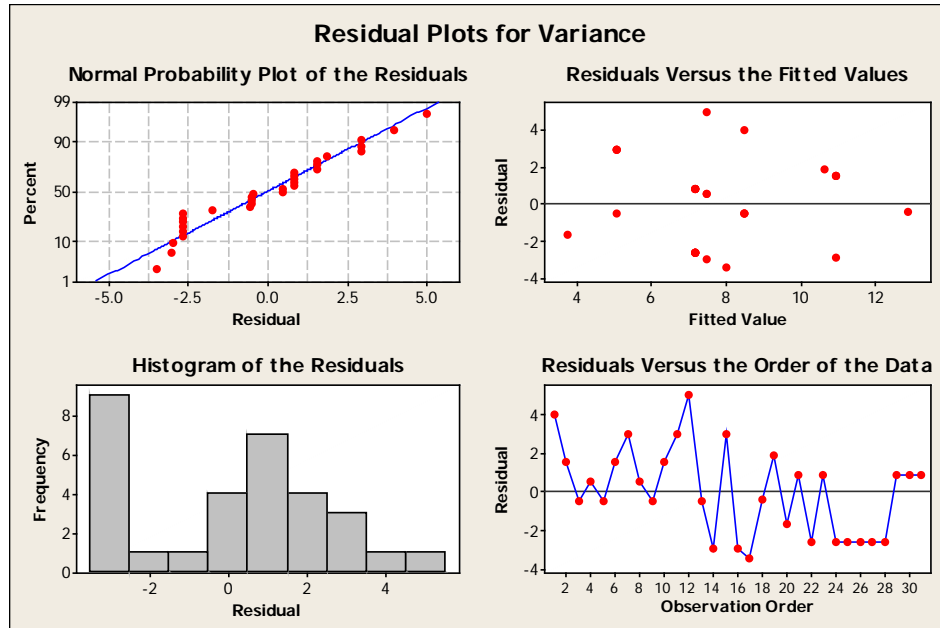


Fig. 2. Residual plots for thickness variance

The residual plot showed that the residuals are normally distributed and there is no trend or pattern in the residual versus order of the data or residual versus the fitted values. Hence the model for the variance of coating thickness is identified as

$$\hat{y}_\sigma^2 = 535.315262 - 5.4534821x_1 - 1.729161x_2 + 0.014591x_1^2 \tag{9}$$

4. Optimization

The company professionals suggested that a coating thickness of 80 microns is ideal for the industrial enclosures. Hence the thickness target is chosen as 80 with a tolerance Δ of 0.05 microns. Substituting Eq. (8) and Eq. (9) in Eq. (7), the optimization problem became

$$\begin{aligned} \min \hat{y}_\sigma &= (535.315262 - 5.4534821x_1 - 1.729161x_2 + 0.014591x_1^2)^{0.5} \\ \text{subject to} \\ 79.95 &\leq -399.950269 + 0.0916667x_1 - 0.52083333x_2 + 0.028472222x_3 + 13.3125x_4 \leq 80 \\ 185 &\leq x_1 \leq 200 \\ 10 &\leq x_2 \leq 12 \\ 1500 &\leq x_3 \leq 1800 \\ 32 &\leq x_4 \leq 34 \\ x_i, i &= 1, \dots, 4 \text{ integer} \end{aligned} \tag{10}$$

The integer constraint is added because the least count for most of the factors is one unit. The aforementioned problem is an integer programming problem (Hiller and Liberman, 2008; Taha, 2007). This problem can be solved using Excel solver. The solver uses one of the most robust nonlinear programming methods, namely generalized reduced gradient algorithm. This algorithm is developed by Lasdon and Waren (Lasdon & Waren, 1977; Lasdon et al., 1978). Moreover lot of studies have been published on the applications of MS Excel solver in solving industrial problems (Souliman et al., 2010; Dasgupta, 2008; Fang, 2006; Brown, 2006). The solution obtained is given in Table 7. The table showed that the optimum combination of factors would give an average coating thickness of 80.0199 microns, very close to the target value of 80 microns with a standard deviation of 2.232 microns.

Table 7

Optimum solution

Factors	Code	Optimum value
Oven Temperature	x ₁	187
Curing Time	x ₂	12
Conductivity	x ₃	1513
Powder Output	x ₄	32
Mean		80.0199
Standard Deviation		2.23201

5. Results and discussion

In this study, models are developed for estimating the mean and variance of the coating thickness of powder coated enclosures. The models are developed in terms of powder coating process parameters namely oven temperature, curing time, conductivity and powder output. Then the variation around the target value of coating thickness is minimized by simultaneously optimizing the mean and standard deviation of the coating thickness. The study showed that the optimum values of oven temperature, curing time, conductivity and powder output would give an estimated average coating thickness of 80.0199 microns, very close to the target value of 80 microns.

Table 8

Comparison of results obtained using different optimization methods

Factors	Code	Proposed Method	VM Method	LT Method	CN Method	QLP Method
Oven Temperature	x ₁	187		187	187	187
Curing Time	x ₂	12		12	12	12
Conductivity	x ₃	1513	No feasible	1513	1505	1513
Powder Output	x ₄	32	Solution	32	32	32
Mean		80.0199		80.0199	79.7921	80.0199
SD		2.23201		2.23201	2.23201	2.23201

The results obtained through the proposed methodology are compared with the existing methodologies for simultaneous optimization of the mean and standard deviation of response variable. The comparison result is given in Table 8. The Table shows that the VM method does not give any feasible solution. This is because VM method forces the estimated mean to be exactly equal to the target value. The LT and QLP methods give the same optimum combination. The CN method gives a different optimum combination with estimated mean equal to 79.7921 microns not as good as other methods. But all the methods except VM method minimized the estimated standard deviation to 2.23201 microns. Hence it is concluded that the proposed methodology is equally good for simultaneously optimizing the mean and standard deviation of a response variable. Moreover the optimum problem can be easily solved through the MS Excel solver function.

6. Validation

The results are presented to the management of the company and it is decided to validate the results by powder coating a pilot batch of 12 enclosures with optimum settings. The results of the validation study

are given in Table 9. The table shows that the mean of the coating thickness for the pilot batch is 80 microns, very close to the estimated mean of 80.0198 and the standard deviation is 2.1742 microns, again very close to the estimated standard deviation of 2.2302 microns. The results of validation study are submitted to the management and it is decided to implement the optimum solution for powder coating all future enclosures.

Table 9

Validation of results

Enclosure No.	1	2	3	4	5	6	7	8	9	10	11	12
Thickness	84	83	80	78	79	82	80	79	80	80	76	79

Mean = 80 Standard deviation 2.1742 Variance = 4.7273

7. Conclusion

This paper presented a methodology for reducing the variation in coating thickness around the target value of powder coated industrial enclosures. The methodology is based on dual response surface optimization technique. Four powder coating process variables namely oven temperature, curing time, conductivity and powder output are selected as factors and the coating thickness is chosen as the response for the study. A 31 run central composite design is used for the study. Based on experimental results, polynomial models are developed for estimating the mean and variance of the coating thickness. The powder coating process is then optimized by minimizing the estimated standard deviation of the coating thickness subject to the constraint that the estimated mean of coating thickness would be very close to the target. The aforementioned integer programming problem is solved using Excel solver. The study showed that the optimum combination would yield a mean coating thickness of 80.0199 microns which is very close to the target value of 80 microns. The study also reduced the estimated standard deviation of coating thickness to 2.2301 microns. The solution obtained using the proposed method is compared with that of existing dual response surface optimization methodologies. It is found that the proposed method is equally good or even better than many of the existing methodologies.

The findings of the study are presented to the management of the company. As per the directions of the management, the results of the study are once again validated by powder coating a new batch of twelve enclosures with the optimum combination of factors. This pilot study confirmed the results. Hence it is decided to use the optimum combination of the factors for powder coating all the future enclosures. The same approach can be used for optimizing similar surface coating processes.

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