

## Aggregating the results of benevolent and aggressive models by the CRITIC method for ranking of decision-making units: A case study on seven biomass fuel briquettes generated from agricultural waste

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### CHRONICLE

#### Article history:

Received August 21, 2020

Received in revised format:

August 31, 2020

Accepted October 1 2020

Available online

October 3, 2020

#### Keywords:

Fuel briquettes

Agricultural waste

Data envelopment analysis

CRITIC method

Cross-efficiency evaluation

### ABSTRACT

The ranking of decision-making units (DMUs) is one of the main issues in data envelopment analysis (DEA). Hence, many different ranking models have been proposed. However, each of these ranking models may produce different ranking results for similar problems. Therefore, it is wise to try different ranking models and aggregate the results of each ranking model that provides more reliable results in solving the ranking problems. In this paper, a novel ranking method (Aggregating the results of aggressive and benevolent models) based on the CRITIC method is proposed. To prove the applicability of the proposed ranking method, it is examined in three numerical examples, six nursing homes, fourteen international passenger airlines and seven biomass materials for processing into fuel briquettes. First, benevolent and aggressive models were used to calculate the efficiency rating for each DMU. As a result, the decision matrix was generated. In the decision matrix, the results of benevolent and aggressive models were viewed as criteria and DMUs were viewed as alternatives. Then, the weights of each criterion were generated by the CRITIC method. Finally, each DMU was ranked. In a comparative analysis, the proposed method can lead to achieving a more reliable decision than the method which is based on a stand-alone method.

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## 1. Introduction

Thailand is one of the agricultural countries in Southeast Asia having a large amount of agricultural residues left over, at around 80 million tons per year, such as coconut shells, sugarcane bagasse, cassava rhizomes, coconut husks, sawdust, rice husks, coffee husks and soda weed (Promdee et al., 2017). These biodegradable wastes can be processed into a massive amount of energy and raw materials. Moreover, biomass energy is an environmentally friendly energy resource, and it can be processed directly into fuel briquettes for cooking. Thus, the idea of using the agricultural wastes for processing into fuel briquettes is one of most attractive way to solve the country's energy shortage. However, the decision-making process for selecting suitable biomass materials from agricultural wastes for processing into fuel briquettes is a complicated problem, because of the multiple conflicting criteria/properties in the decision-making process, which is hard to implement because there are multiple properties to consider simultaneously. This is a multiple attribute decision-making problem (MADM problem) in which each material must be measured and ranked for the most effective resource utilization. Data Envelopment Analysis (DEA) is a mathematical programming model (non-parametric approach) for measuring a group of relative efficiency scores of Decision Making Units (DMUs) with multiple inputs and outputs (Hosseinzadeh Lotfi et al., 2013; Omid & Zegordi, 2015; Wichapa & Khokhajaikiat, 2019). The DEA approach was described by Farrel (1957), but a mathematical model for measuring relative efficiency was originally developed by Charnes, Cooper and Rhodes (1979) and

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other forms of DEA models have been improved by other researchers (Banker, Charnes, & Cooper, 1984; Cooper, Seiford, & Tone, 2007). The main objective of DEA is to generate the optimal weights for each DMU to maximize the ratio of the sum of weighted outputs to the sum of weighted inputs, in order to evaluate the efficiency scores of each DMU for identifying the DMUs as being efficient or inefficient (Lu & Liu, 2016; J. Wu, Chu, Sun, Zhu, & Liang, 2016). Over the past four decades, DEA has been widely applied in various fields such as manufacturing, banking, hospitals and education (Kuah, Wong, & Behrouzi, 2010; Lesik et al., 2020; Liu, Lu, & Lu, 2016; Mardani, Zavadskas, Streimikiene, Jusoh, & Khoshnoudi, 2017), which has proven that DEA is a valuable and capable method for evaluating performance in various fields. However, one of the main drawbacks of DEA is that efficient DMUs cannot be ranked, because the efficiencies of each efficient DMU have the same value (Efficiency score =1), so other ranking methods should be considered in solving such problems. To overcome the inability of DEA in ranking a set of efficient DMUs, many researchers (Andersen & Petersen, 1993; Cook, Roll, & Kazakov, 1990; Li & Reeves, 1999; Sueyoshi, 1999) have proposed various ranking methods. However, one of the most popular methodologies is the cross-efficiency evaluation method, first proposed by Sexton et al. (1986), which is an extension of DEA based on the cross efficiency concept. In an application of the cross-efficiency evaluation method, the efficiency scores of each DMU are evaluated through self-assessment and peer assessment, a set of weights for each DMU in the traditional DEA model determined, resulting in  $n$  weight sets. Then, each DMU is calculated using the  $n$  weight sets to obtain  $n$  efficiency values. The cross-efficiency score of each DMU is the average of the  $n$  efficiency values. Finally, all DMUs can be ranked by the average of cross-efficiency scores. However, there still exist some drawbacks in many cases, for example the drawback of this method is that the weights are not unique, which cannot clearly provide the results to help decision makers to improve their performance (Si & Ma, 2019; Wu, Sun, Zha, & Liang, 2011). To solve the above problems, Sexton et al. (1986) recommended using a secondary-goal model in the Cross-efficiency evaluation method. Inspired by this idea, Doyle and Green (1994) have proposed a secondary-goal model, aggressive (minimal) and benevolent (maximal) models, to deal with multiple DEA solutions. Based on the secondary-goal model, many researchers (Liang, Wu, Cook, & Zhu, 2008b; Wang & Chin, 2010b) offered secondary-goal models. There are often suggestions that we would like to rank all DMUs using aggressive (minimal) and benevolent (maximal) models. A question arises: which one is more appropriate? It is possible that the rankings of DMUs obtained by aggressive and benevolent models may not be the same. Each of the ranking models has different views which we would like not to ignore. Hence, it is wise to try different ranking models and aggregate the results of the aggressive and benevolent models for ranking all DMUs.

CRiteria Importance Through Intercriteria Correlation (CRITIC method), which was originally developed by Diakoulaki et al. (1995), has been widely accepted as an effective weighting method for determining the objective weights of each criterion in the decision matrix of multi-criteria decision making problems (MCDM problems). It can be used to aggregate the results of many models for ranking all DMUs, as well as Shannon's entropy. There are many applications of the CRITIC method for determining objective weights for criteria in decision making processes, as shown in the literature (Bellver, Cervelló, & García, 2011; Diakoulaki et al., 1995; Keshavarz Ghorabae, Amiri, Zavadskas, & Antucheviciene, 2018; Vujcic, Papic, & Blagojević, 2017), which has proven that the CRITIC method is a valuable and capable method for determining the objective weights of criteria in the decision matrix of MADM problems. These are the major reasons why the aggressive and benevolent models based on the CRITIC method are selected as a suitable method for ranking all DMUs in this paper. To this end, this paper provides a hybrid approach (Aggressive and benevolent models) which is based on the CRITIC method for ranking all DMUs. The proposed method provides more reliable results in solving the ranking problem because aggregating the cross-efficiency results of the aggressive and benevolent models provides a more realistic ranking compared with using any of the ranking models individually. The calculation steps of this research are as follows. In the first step DMUs are categorized by aggressive and benevolent criteria and in the following the CRITIC method is employed to calculate the weight of each criterion. Finally, the ranking is obtained by multiplying the criteria weight and the cross-efficiency values. Billions of tons of agricultural residue are generated each year in the developing and developed countries. This volume of biodegradable wastes can be converted to an enormous amount of energy and raw materials. Agricultural biomass waste converted to energy can substantially displace fossil fuel, reduce emissions of greenhouse gases and provide renewable energy to people in developing countries, which still lack access to electricity. As raw materials, biomass wastes have attractive potentials for large-scale industries and community-level enterprises. Thailand, as a developing country depends heavily on wood fuel as a source of energy, contributing about 72% of the primary energy supply followed by crude oil and hydroelectricity in that order. The associated harmful environmental, health and social effects with the use of traditional biomass like firewood and fossil fuel has enhanced the growing interest in the search for alternate cleaner source of energy globally.

The rest of this research is organized as follows. Literature review, Methodology and Application examples are presented in Sections 2, 3 and 4 respectively. Finally, Section 5 is the Conclusion.

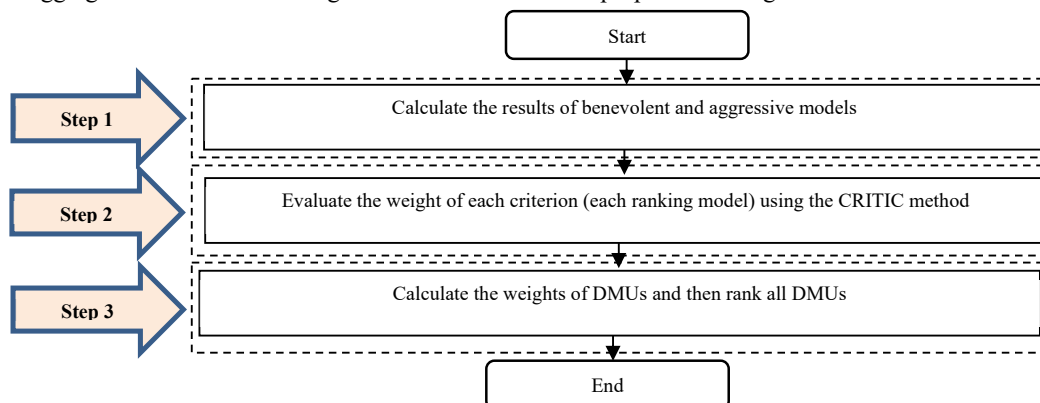
## 2. Literature review

DEA has been studied for over forty years. Even though it is old, applications of many forms of DEA models to various problems are becoming more attractive. DEA is a classic model for evaluating the efficiency score of DMUs with multiple input and output variables, originating from Farrel (1957). However, it is formally accepted by all researchers that Charnes,

Cooper, and Rhodes's mathematical model (Charnes et al., 1979) is the most significant historic origin of DEA. Later, other forms of DEA models were developed by other researchers (Banker et al., 1984; Cooper et al., 2007). The CCR model by Charnes et al. (1979) together with the BCC model by Banker et al. (1984) are the most popular models of evaluating efficiency score among a group of DMUs. The right choice of a CCR or BCC is often, if not always, a hard decision. Hence, one DEA model that is often suggested for problem solving is the CCR model, which has been widely used in many fields (Lovell & Pastor, 1999; Niu, Zhang, Zhang, Zhang, & Yang, 2020; C.-K. Wei, Chen, Li, & Tsai, 2011), which proves that the CCR model is a valuable and capable method for evaluating performance of DMUs in various fields. It is well known that the main drawback of the DEA is its inability to rank multiple efficient DMUs. To solve the ranking problem, Sexton et al. (1986) proposed the cross efficiency evaluation to overcome the shortcomings of self-evaluation in the CCR-DEA model; it provides a full ranking for all DMUs. Because of this main advantage, the cross-efficiency evaluation method has been widely applied in various fields (Dotoli, Epicoco, Falagario, & Sciancalepore, 2016; Yang & Wei, 2019). However, the cross-efficiency evaluation method still has some disadvantages requiring further improvement. For example, the drawback of this method is that the weights are not unique, which cannot clearly provide the results to help decision makers to improve their performance (Si & Ma, 2019; J. Wu et al., 2011). Inspired by the idea of Sexton et al. (1986), two well-known models based on benevolent and aggressive models have been developed by Doyle & Green (1994). A neutral DEA model has been proposed by Wang & Chin (2010a) for overcoming the difficulty of the choice between the aggressive and benevolent models, and also providing a full ranking for all the DMUs. A game cross-efficiency model has been presented by Liang et al. (2008a) to get a reasonable cross-efficiency value. Besides the ranking methods, another way to solve the ranking problem is to integrate the results of multiple ranking methods for evaluating all the DMUs. For example, ranking methods based on Shannon entropy have been widely used for solving the ranking problems of all DMUs as shown in the literature (Hosseinzadeh, Eshlaghy, & Shafiee, 2012; Lu & Liu, 2016; Shirouyehzad, Lotfi, & Reza, 2013; Song & Liu, 2018). Likewise, the ranking methods based on the Grey Relational Analysis (GRA) have been proposed by many researchers (Kumar & Singh, 2020; Tosun, 2006). In recent years, various ranking methods based on the CRITIC method have been widely applied in various fields (Abdel-Basset & Mohamed, 2020; H.-W. Wu, Zhen, & Zhang, 2020). In addition, there are the ranking methods combined with the MADM methods, instead of the average scores of cross-efficiency evaluation. For instance, Wu et al. (2013) presented a combined DEA cross-efficiency evaluation and TOPSIS and used it to rank all the DMUs. Rakhshan et al. (2017) proposed a new ranking method based on TOPSIS and DEA to rank efficient DMUs. The CRITIC method, proposed by Diakoulaki et al. (1995), is one of the weighting methods which determine weights for each criterion in the decision matrix of MADM problems. It uses correlation analysis of all pairs of criteria to find out the objective weights of criteria. In the CRITIC method, the decision matrix is generated and the standard deviation of each criterion and the correlation coefficients of all pairs of criteria are employed to determine the weights of each criterion (Rostamzadeh, Ghorabae, Govindan, Esmaili, & Nobar, 2018). In recent years, the CRITIC method has been used extensively for determining the objective weights of criteria together with MADM methods as shown in the literature. Rostamzadeh et al. (2018) developed a conceptual framework for sustainable supply chain risk management using the CRITIC method and fuzzy TOPSIS. Tuş and Aytac Adalı (2019) proposed a combined CRITIC-WASPAS method for solving the software selection problem. Wei et al. (2020) proposed a combined GRA-CRITIC method for location planning of electric vehicle charging stations. Zhao et al. (2020) proposed an improved Prospect theory and the Copula-CRITIC method to measure the construction schedule robustness. The association of weights in MADM problems is a crucial stage of the whole decision-making process. In many situations, decision makers may not be able to clearly determine the subjective preferences for varieties of criteria. In addition, the CRITIC method has been accepted as an effective tool to determine weights for criteria in decision-making problems, as shown in the above literature. These are therefore the important reasons for choosing the CRITIC method for determining the weights of each quantitative criterion in this paper.

### 3. Methodology

When measuring and ranking all DMUs, the evaluation process should have an approach that provides more reliable results in solving the ranking problems, and the approach must be able to solve the problem effectively. Thus, this section presents a novel aggregated method for ranking all DMUs. Details of the proposed ranking method are demonstrated in Fig. 1.



**Fig. 1.** Diagram for the proposed ranking method

### 3.1 Calculate the results of benevolent and aggressive models

Let there be a set of  $n$  DMUs, where  $DMU_j$  ( $j = 1, 2, 3, \dots, n$ ) uses  $m$  different inputs to produce  $s$  different outputs which can be denoted as  $x_{ij} = (1, 2, 3, \dots, m)$  and  $y_{rj} = (1, 2, 3, \dots, s)$  respectively.  $\mu_{rd}$  and  $\omega_{id}$  are weight of outputs and weight of inputs respectively. For any evaluated  $DMU_d$  ( $1 \leq d \leq n$ ), the efficiency score  $E_{dd}$  can be calculated by the CCR model as follows:

$$\begin{aligned} \max \quad & \sum_{r=1}^s \mu_{rd} \cdot Y_{rd} = E_{dd} \\ \text{subject to:} \\ & \sum_{r=1}^s \mu_{rd} \cdot Y_{rj} - \sum_{i=1}^m \omega_{id} \cdot X_{ij} \leq 0, \quad \forall j, j = 1, 2, 3, \dots, n \\ & \sum_{i=1}^m \omega_{id} \cdot X_{ij} = 1 \\ & \omega_{id} \geq 0, \quad \forall i, i = 1, 2, 3, \dots, m \\ & \mu_{rd} \geq 0, \quad \forall r, r = 1, 2, 3, \dots, s \end{aligned} \quad (1)$$

For each  $DMU_d$  ( $d = 1, 2, 3, \dots, n$ ), a group of optimal weights can be obtained by solving the CCR model in Equation (1). In the CCR model, each DMU is self-evaluated and termed efficient if and only if the optimal objective function is equal to 1. The cross-efficiency of each  $DMU_j$  using the weights of  $DMU_d$ , namely  $E_{dj}$ , can be defined as follows:

$$E_{dj} = \frac{\sum_{r=1}^s \mu_{rd} \cdot Y_{rd}}{\sum_{i=1}^m \omega_{id} \cdot X_{id}}, \quad d, j = 1, 2, 3, \dots, n \quad (2)$$

The cross-efficiency matrix (CEM) can be generated using Equation (2).

Then the average cross-efficiency score (ACE score) of each  $DMU_j$  is defined as follows:

$$\bar{E}_j = \frac{1}{n} \sum_{d=1}^n E_{dj}, \quad j = 1, 2, 3, \dots, n, \quad d, j = 1, 2, 3, \dots, n \quad (3)$$

The optimal weights of each  $DMU_j$  obtained from the CCR model in Eq. (1) are usually not unique. Consequently,  $E_{dj}$  defined in Eq. (2) is generated arbitrarily. To overcome this drawback, the well-known aggressive and benevolent models were proposed by Doyle and Green (1994) to identify the optimal weights of each  $DMU_j$ . The benevolent and aggressive models are

$$\begin{aligned} \max \quad & \sum_{r=1}^s \mu_{rd} \sum_{j=1, j \neq d}^n Y_{rj} \\ \text{subject to:} \\ & \sum_{i=1}^m \omega_{id} \sum_{j=1, j \neq d}^n X_{ij} = 1 \\ & \sum_{r=1}^s \mu_{rd} \cdot Y_{rj} - E_{dd} \cdot \sum_{i=1}^m \omega_{id} \cdot X_{ij} = 0, \quad \forall j, j \neq d, j = 1, 2, 3, \dots, n \\ & \sum_{r=1}^s \mu_{rd} \cdot Y_{rj} - \sum_{i=1}^m \omega_{id} \cdot X_{ij} \leq 0, \quad \forall j, j \neq d, j = 1, 2, 3, \dots, n \\ & \omega_{id} \geq 0, \quad \forall i, i = 1, 2, 3, \dots, m \\ & \mu_{rd} \geq 0, \quad \forall r, r = 1, 2, 3, \dots, s \quad \text{and} \\ \text{Min} \quad & \sum_{r=1}^s \mu_{rd} \sum_{j=1, j \neq d}^n Y_{rj} \end{aligned} \quad (4)$$

$$\text{Min} \quad \sum_{r=1}^s \mu_{rd} \sum_{j=1, j \neq d}^n Y_{rj} \quad (5)$$

**subject to** the same constraints as in Model (4)

Model/Eq. (4) represents the benevolent strategy for cross efficiency evaluation, which aims to maximize the cross efficiency of the integrated unit consisting of the other DMUs while maintaining the self-evaluation efficiency of a particular DMU under evaluation, whereas Model/Eq. (5) is known as the aggressive strategy which minimizes the cross efficiency of the integrated unit. The two models optimize the input and output weights in two different views. As a result, there is no guarantee that both the models can lead to the same ranking orders and are incapable of providing the decision makers with a definite decision conclusion. Thus, the idea of aggregating the results of the well-known DEA models for ranking DMUs is an interesting solution approach for solving the ranking problem.

3.2 Evaluate the weights of each criterion using the CRITIC method

There are three calculation steps to evaluate the weights of each criterion using the CRITIC method. Details of each calculation step are as follows.

3.2.1 Generate the decision matrix

The decision matrix will be generated using the results of benevolent and aggressive models in Section 3.1. Details are shown in Table 1.

**Table 1**  
The decision matrix (X) of each method

Alternatives/DMUs	Criteria	
	Benevolent (C <sub>1</sub> )	Aggressive (C <sub>2</sub> )
1	$x_{11}$	$x_{12}$
2	$x_{21}$	$x_{22}$
3	$x_{31}$	$x_{32}$
...	...	...
n	$x_{n1}$	$x_{n2}$

As in Table 1, consider a decision matrix (X),  $X = [x_{ij}]_{n \times m}$ , where  $x_{ij}$  is the efficiency score of alternative  $i$  with respect to criterion  $j$ ,  $n$  and  $m$  are the numbers of alternatives and the number of criteria respectively.

3.2.2 Normalize the decision matrix

The normalized decision matrix will be generated using Eq. (6)

$$\chi_{ij} = \frac{x_{ij} - x_j^{\min}}{x_j^{\max} - x_j^{\min}} \tag{6}$$

where  $x_j^{\max} = \max(x_{ij}, j = 1, 2, 3, \dots, n)$  and  $x_j^{\min} = \min(x_{ij}, j = 1, 2, 3, \dots, n)$ .

3.2.3 Calculate the weights of each criterion

While calculating the weights of each criterion  $j$ , the standard deviation of each criterion  $j$  ( $\sigma_j$ ) and correlation between the criterion  $i$  and criterion  $j$  ( $r_{ij}$ ) can be computed using Excel 2010. In this regard, the weight of the criterion  $j$  ( $w_j$ ) is obtained as:

$$w_j = \frac{C_j}{\sum_{j=1}^m C_j}, \tag{7}$$

where  $C_j$  is the quantity of information contained in criterion  $j$  determined as:

$$C_j = \sigma_j \sum_{i=1}^n (1 - r_{ij}) \tag{8}$$

3.3 Calculate the weights of DMUs and rank all DMUs

The weight of each DMU <sub>$i$</sub>  is obtained by multiplying the CRITIC weight value by the corresponding decision matrix using Eq. (9).

$$\theta_i = \sum_{j=1}^m (w_j \cdot x_{ij}), \quad \forall i, i = 1, 2, 3, \dots, n \quad (9)$$

where  $\theta_i$  is the integrated weight of each DMU<sub>*i*</sub>. After obtaining the results of  $\theta_i$  using Equation (9), it can be concluded that a higher value means that the DMUs ranking is higher.

#### 4. Numerical examples

This section uses the proposed ranking method to evaluate three numerical examples. The first is six nursing homes (Sexton et al., 1986), the second fourteen international passenger airlines (Tofallis, 1997a), and the third is a case study on seven biomass fuel briquettes generated from agricultural waste. Details of calculation steps of the proposed methodology are shown in Sections 4.1, 4.2 and 4.3 respectively.

##### 4.1. Efficiency evaluation of six nursing homes

As shown in Table 2, the six nursing homes, proposed by Sexton et al. (Sexton et al., 1986), has two inputs ( $x_1$  and  $x_2$ ) and two outputs ( $y_1$  and  $y_2$ ).

*StHr* ( $x_1$ ): staff hours per day, including nurses, physicians, etc.

*Supp* ( $x_2$ ): supplies per day, measured in thousands of dollars.

*MCPD* ( $y_1$ ): total Medicare-plus Medicaid-reimbursed patient days.

*PPPD* ( $y_2$ ): total privately paid patient days.

**Table 2**  
Data set of six nursing homes

DMU <sub><i>i</i></sub>	Inputs		Outputs	
	<i>StHr</i> ( $x_1$ )	<i>Supp</i> ( $x_2$ )	<i>MCPD</i> ( $y_1$ )	<i>PPPD</i> ( $y_2$ )
A	1.50	0.20	1.40	0.35
B	4.00	0.70	1.40	2.10
C	3.20	1.20	4.20	1.05
D	5.20	2.00	2.80	4.20
E	3.50	1.20	1.90	2.5
F	3.20	0.70	1.40	1.5

**Step 1:** Calculate the results of benevolent and aggressive models for six nursing homes.

Consider a DEA efficiency evaluation problem with six nursing homes, each DMU with two inputs and two outputs as in Table 2. The benevolent ((Eq. (4)) and aggressive ((Eq. (5)) models were coded using LINGO software (as shown in [Appendix 1](#)). As a result, we can obtain the decision matrix of six nursing homes listed in Table 3.

**Table 3**  
Decision matrix of six nursing homes

Alternatives/DMUs	Criteria	
	Benevolent (C1)	Aggressive (C2)
A	1.0000	0.7639
B	0.9773	0.7004
C	0.8580	0.6428
D	1.0000	0.7184
E	0.9758	0.6956
F	0.8570	0.6081
$x_j^{\max}$	<b>1.0000</b>	<b>0.7639</b>
$x_j^{\min}$	<b>0.8570</b>	<b>0.6081</b>

**Step 2:** Evaluate the criteria weights for six nursing homes using the CRITIC method.

Consider the decision matrix of six nursing homes in Table 3, DMUs are viewed as alternatives, and the results of benevolent and aggressive models are viewed as criteria. After that, the decision matrix of six nursing homes was normalized using Eq. (6). Then,  $\sigma_j$  was calculated using the function “=STDEVA (xxx:xxx)” in Excel 2010. As a result, we can obtain the normalized decision matrix listed in Table 4.

**Table 4**  
Normalized decision matrix of six nursing homes

Alternatives/DMUs	Criteria	
	Benevolent (C <sub>1</sub> )	Aggressive (C <sub>2</sub> )
A (1)	1.0000	1.0000
B (2)	0.8416	0.5925
C (3)	0.0068	0.2230
D (4)	1.0000	0.7079
E (5)	0.8311	0.5617
F (6)	0.0000	0.0000
$\sigma_j$	<b>0.4780</b>	<b>0.3553</b>

After obtaining the normalized decision matrix, the next step is to calculate the correlation between criterion *i* and criterion *j* ( $r_{ij}$ ) using the function “=CORREL(xx:xx,xx:xx)” in Excel 2010. As a result, we can obtain the correlation matrix listed in Table 5.

**Table 5**  
Correlation matrix ( $r_{ij}$  matrix) for six nursing homes

	Benevolent	Aggressive
Benevolent	1.0000	0.9220
Aggressive	0.9220	1.0000

After obtaining the correlation matrix, the weight of the criterion *j* ( $w_j$ ) was obtained using Eq. (7) and Eq. (8).  $C_j$  was computed using Eq. (8), For example,

$C_1 = \sigma_1 \sum_{i=1}^2 (1 - r_{i1}) = 0.4780((1 - 1) + (1 - 0.9220)) = 0.4780(0.0000 + 0.0780) = 0.0373$ . Likewise, the value  $C_2$  was obtained from the same calculation as the  $C_1$  value. Finally,  $w_1$  and  $w_2$  are shown in Table 6.

**Table 6**  
Criteria weights for six nursing homes using the CRITIC method

	Benevolent	Aggressive
Benevolent	0.0000	0.0780
Aggressive	0.0780	0.0000
$\sum_{i=1}^n (1 - r_{ij})$	0.0780	0.0780
$\sigma_j$	0.4780	0.3553
$C_j$	<b>0.0373</b>	<b>0.0277</b>
$w_j$	<b>0.5737</b>	<b>0.4263</b>

**Step 3:** Calculate the weights of DMUs and then rank all DMUs

After obtaining the  $w_j$  of all criteria,  $\theta_i$  can be obtained using Equation (9). Based on values of each  $\theta_i$ , the ranking of each DMU<sub>*i*</sub> is as shown in Table 7. Finally, the correlation of each method ( $r_s$ ) was tested using Spearman’s rank correlation. Details of  $r_s$  values are shown in Table 8.

**Table 7**  
The ranking of DMUs for six nursing homes

DMUs	CCR	Rank	Benevolent	Rank	Aggressive	Rank	Proposed method	Rank
A (1)	1.0000	1	1.0000	1	0.7639	1	0.8993	1
B (2)	1.0000	1	0.9773	3	0.7004	3	0.8593	3
C (3)	1.0000	1	0.8580	5	0.6428	5	0.7662	5
D (4)	1.0000	1	1.0000	1	0.7184	2	0.8799	2
E (5)	0.9775	5	0.9758	4	0.6956	4	0.8564	4
F (6)	0.8675	6	0.8570	6	0.6081	6	0.7509	6

**Table 8**  
The correlation test for six nursing homes

	CCR	Benevolent	Aggressive	Proposed Method
CCR	1.000	0.686	0.676	0.676
Benevolent	0.686	1.000	0.986	0.986
Aggressive	0.676	0.986	1.000	1.000

Proposed method	0.676	0.986	1.000	1.000
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As seen in Table 7, the CCR model using Eq. (1) identifies DMU<sub>1</sub> through DMU<sub>4</sub> as efficient DMUs, while it cannot discriminate among efficient DMUs. To solve this problem, we use the proposed method to evaluate these six DMUs. Finally, the efficiency rating and ranking were obtained as in Table 7. The proposed method assesses that DMU<sub>1</sub> > DMU<sub>4</sub> > DMU<sub>2</sub> > DMU<sub>5</sub> > DMU<sub>3</sub> > DMU<sub>6</sub>. The aggressive model and proposed method agree that DMU<sub>1</sub> is the best DMU and DMU<sub>6</sub> is the worst DMU. Whereas, benevolent model cannot agree that DMU<sub>1</sub> is the best DMU because it cannot discriminate among DMU<sub>1</sub> and DMU<sub>4</sub> (DMU<sub>1</sub> and DMU<sub>4</sub> are same cross-efficiency value). As seen in Table 8, after the Spearman correlation test, the Spearman's rank correlation coefficients for proposed method and CCR efficiency value, benevolent efficiency value and aggressive efficiency value are calculated as  $r_s = 0.676, 0.986$  and  $1.000$  respectively. This is a guarantee that the proposed method is more reliable.

#### 4.2 Efficiency evaluation of fourteen international passenger airlines

As shown in Table 9, the data set of fourteen international passenger airlines, proposed by Tofallis (Tofallis, 1997b), has three inputs ( $x_1, x_2$  and  $x_3$ ) and two outputs ( $y_1$  and  $y_2$ ).

$x_1$ : aircraft capacity in ton kilometers.

$x_2$ : operating cost.

$x_3$ : non-flight assets such as reservation systems, facilities and current assets.

$y_1$ : passenger kilometers.

$y_2$ : non-passenger revenue.

**Table 9**  
Data set of fourteen international passenger airlines

DMU <sub>s</sub>	Inputs			Outputs	
	$x_1$	$x_2$	$x_3$	$y_1$	$y_2$
1	5723	3239	2003	26677	697
2	5895	4225	4557	3081	539
3	24099	9560	6267	124055	1266
4	13565	7499	3213	64734	1563
5	5183	1880	783	23604	513
6	19080	8032	3272	95011	572
7	4603	3457	2360	22112	969
8	12097	6779	6474	52363	2001
9	6587	3341	3581	26504	1297
10	5654	1878	1916	19277	972
11	12559	8098	3310	41925	3398
12	5728	2481	2254	27754	982
13	4715	1792	2485	31332	543
14	22793	9874	4145	122528	1404

**Step 1:** Calculate the results of benevolent and aggressive models for fourteen international passenger airlines.

Consider the data set of fourteen international passenger airlines, benevolent and aggressive models using LINGO software (Details are shown in [Appendix 2](#)). As a result, we can obtain the decision matrix of fourteen international passenger airlines listed in Table 10.

**Table 10**  
Decision matrix of fourteen international passenger airlines

Alternatives/DMU <sub>s</sub>	Criteria	
	Benevolent ( $C_1$ )	Aggressive ( $C_2$ )
1	0.7543	0.5990
2	0.1894	0.1652
3	0.7678	0.6226
4	0.8222	0.6734
5	0.8912	0.7983
6	0.7554	0.6385
7	0.8214	0.6478
8	0.7242	0.5855
9	0.7590	0.6309
10	0.7803	0.6813
11	0.9193	0.7742
12	0.8850	0.7314
13	0.9190	0.7503
14	0.8659	0.7316



$x_j^{\max}$	<b>0.9193</b>	<b>0.7983</b>
$x_j^{\min}$	<b>0.1894</b>	<b>0.1652</b>

**Step 2:** Evaluate the criteria weights for fourteen international passenger airlines using the CRITIC method.

Considering the decision matrix of fourteen international passenger airlines in Table 10, the calculation steps are the same as Step 2 of Section 4.1. As a result, the normalized decision matrix was generated as shown in Table 11. Finally, the weights of each criterion were determined using the CRITIC method as listed in Table 12.

**Table 11**  
Normalized decision matrix of fourteen international passenger airlines

Alternatives/DMU <sub>s</sub>	Criteria	
	Benevolent (C <sub>1</sub> )	Aggressive (C <sub>2</sub> )
1	0.7740	0.6851
2	0.0000	0.0000
3	0.7924	0.7225
4	0.8669	0.8026
5	0.9614	1.0000
6	0.7754	0.7475
7	0.8659	0.7623
8	0.7326	0.6638
9	0.7804	0.7355
10	0.8095	0.8152
11	1.0000	0.9619
12	0.9530	0.8943
13	0.9995	0.9242
14	0.9269	0.8946
$\sigma_j$	<b>0.2481</b>	<b>0.2419</b>

**Table 12**  
Criteria weights for fourteen international passenger airlines using the CRITIC method

	Benevolent	Aggressive
Benevolent	0.0000	0.0126
Aggressive	0.0126	0.0000
$\sum_{i=1}^n (1 - r_{ij})$	0.0126	0.0126
$\sigma_j$	0.2481	0.2419
$C_j$	0.0031	0.0030
$w_j$	<b>0.5063</b>	<b>0.4937</b>

**Step 3:** Calculate the weights of DMUs and then rank all DMUs for fourteen international passenger airlines

After obtaining the  $w_j$  of all criteria,  $\theta_i$  can be obtained using the same Step 3 of Section 4.1. The results are shown in Table 13. Finally,  $r_s$  was tested using Spearman’s rank correlation as listed in Table 14.

**Table 13**  
The ranking of DMUs for fourteen international passenger airlines

	CCR	Rank	Benevolent	Rank	Aggressive	Rank	Proposed method	Rank
1	0.8684	12	0.7543	12	0.5990	12	0.6776	12
2	0.3379	14	0.1894	14	0.1652	14	0.1775	14
3	0.9475	11	0.7678	9	0.6226	11	0.6961	10
4	0.9581	9	0.8222	6	0.6734	7	0.7487	6
5	1.0000	1	0.8912	3	0.7983	1	0.8453	2
6	0.9766	8	0.7554	11	0.6385	9	0.6977	9
7	1.0000	1	0.8214	7	0.6478	8	0.7357	7
8	0.8588	13	0.7242	13	0.5855	13	0.6557	13
9	0.9477	10	0.7590	10	0.6309	10	0.6958	11
10	1.0000	1	0.7803	8	0.6813	6	0.7314	8
11	1.0000	1	0.9193	1	0.7742	2	0.8477	1
12	1.0000	1	0.8850	4	0.7314	5	0.8092	4
13	1.0000	1	0.9190	2	0.7503	3	0.8357	3
14	1.0000	1	0.8659	5	0.7316	4	0.7996	5

As seen in Table 13, the CCR model using Eq. (1) identifies DMU<sub>5</sub>, DMU<sub>7</sub> and DMU<sub>10</sub> through DMU<sub>14</sub> as efficient DMUs, which it cannot discriminate amongst. To solve this problem, we use the proposed method to evaluate all DMUs. Finally, the efficiency rating and ranking were obtained as in Table 13. The proposed method and benevolent model agree that DMU<sub>11</sub> is the best DMU. Whereas, the aggressive model indicates that DMU<sub>5</sub> is the best DMU. All of the methods agree that DMU<sub>2</sub> is the worst DMU. As seen in Table 8, after the Spearman correlation test, the Spearman's rank correlation coefficients for the proposed method and the CCR efficiency value, benevolent efficiency value and aggressive efficiency value are calculated as  $r_s = 0.880, 0.982$  and  $0.974$  respectively. This is a guarantee that the proposed method is highly reliable.

**Table 14**

The correlation test for fourteen international passenger airlines

	CCR	Benevolent	Aggressive	Proposed method
CCR	1.000	0.857	0.908	0.880
Benevolent	0.857	1.000	0.952	0.982
Aggressive	0.908	0.952	1.000	0.974
Proposed method	0.880	0.982	0.974	1.000

#### 4.3 Application to seven biomass fuel briquettes generated from agricultural waste

Thailand is one of the agricultural countries in Southeast Asia having a large amount of agricultural waste which could be used for fuel briquettes. Therefore, the idea of using the agricultural wastes for manufacturing into fuel briquettes is a very attractive issue. The moisture content (analyzed following the ASTM D3173), ash content (analyzed following the ASTM D3174), heating value (analyzed following the ASTM D5865) and fixed carbon (analyzed following the ASTM D3172) are important properties of fuel briquettes for cooking. These properties can be viewed as inputs and outputs in DEA, and each material type of fuel briquettes can be viewed as a DMU. Selecting the suitable agricultural wastes for manufacturing into fuel briquettes is a complicated problem because of the multiple conflicting criteria/properties in the decision-making process, which is hard to implement. Therefore, the proposed ranking method based on DEA was used to select the suitable materials for the most effective resource utilization. As shown in Table 15, the seven biomass fuel briquettes have two inputs ( $x_1$  and  $x_2$ ) and two outputs ( $y_1$  and  $y_2$ ).

$x_1$  : moisture content (%).

$x_2$  : ash content (%).

$y_1$  : heating value (kcal/kg).

$y_2$  : fixed carbon (%).

DMU<sub>1</sub>: Bagasse.

DMU<sub>2</sub>: Incense reed.

DMU<sub>3</sub>: Water hyacinth.

DMU<sub>4</sub>: Rice husk.

DMU<sub>5</sub>: Coconut shell.

DMU<sub>6</sub>: Sawdust.

DMU<sub>7</sub>: Sensitive plant.

**Table 15**

Data set of seven biomass fuel briquettes

DMU <sub>s</sub>	Inputs		Outputs	
	$x_1$	$x_2$	$y_1$	$y_2$
1	6.4	8.81	4,462	17.66
2	6.15	24.61	3,251	14.50
3	6.74	25.67	3,146	14.75
4	7.5	21.01	3,886	17.3
5	6.9	3.4	6,761	72.7
6	4.45	1.45	4,876	27.4
7	10.2	3.87	4,376	24.77

**Step 1:** Calculate the results of benevolent and aggressive models for seven biomass fuel briquettes.

Considering the data set of seven biomass fuel briquettes, the benevolent and aggressive models were coded using LINGO software (Details are shown in [Appendix 3](#)). As a result, we can obtain the decision matrix of seven biomass fuel briquettes listed in Table 16.

**Table 16**

Decision matrix of seven biomass fuel briquettes

Alternatives/DMU <sub>s</sub>	Criteria	
	Benevolent ( $C_1$ )	Aggressive ( $C_2$ )
1	0.6372	0.4935
2	0.4852	0.3580
3	0.4293	0.3170
4	0.4756	0.3549
5	0.9476	0.8892
6	1.0000	0.9834
7	0.3978	0.3749

Alternatives/DMU <sub>s</sub>	Criteria	
	Benevolent (C <sub>1</sub> )	Aggressive (C <sub>2</sub> )
$x_j^{max}$	1.0000	0.9834
$x_j^{min}$	0.3978	0.3170

**Step 2:** Evaluate the criteria weights for seven biomass fuel briquettes using the CRITIC method.

Based on the same calculation procedure as the Step 2 of the Section 4.1 and Section 4.2,  $w_1$  and  $w_2$  were obtained as shown in Table 17.

**Table 17**  
Criteria weights for seven biomass fuel briquettes using the CRITIC method

	Benevolent	Aggressive
Benevolent	0.0000	0.0141
Aggressive	0.0141	0.0000
$\sum_{i=1}^n (1 - r_{ij})$	0.0141	0.0141
$\sigma_j$	0.4161	0.4178
$C_j$	0.0059	0.0059
$w_j$	0.4990	0.5010

**Step 3:** Calculate the weights of DMUs and then rank all DMUs for the seven biomass fuel briquettes

After obtaining the  $w_j$  of all criteria,  $\theta_i$  values were obtained using Eq. (9). Based on the values of each  $\theta_i$ , the ranking of each DMU<sub>*i*</sub> was shown in Table 18. Finally, the correlation of each method ( $r_s$ ) was tested using Spearman's rank correlation. Details of  $r_s$  values are shown in Table 19.

As seen in Table 18, the CCR model using Equation (1) identifies DMU<sub>5</sub> through DMU<sub>6</sub> as efficient DMUs, which it cannot discriminate between. To solve this problem, we use the proposed method to evaluate these DMUs. Finally, the efficiency rating and ranking were obtained. The proposed method assesses that DMU<sub>6</sub> > DMU<sub>5</sub> > DMU<sub>1</sub> > DMU<sub>2</sub> > DMU<sub>4</sub> > DMU<sub>7</sub> > DMU<sub>3</sub>. The benevolent, aggressive and proposed models agree that DMU<sub>6</sub> is the best DMU. Whereas, CCR model cannot agree that DMU<sub>6</sub> is the best DMU because it cannot discriminate among DMU<sub>5</sub> and DMU<sub>6</sub> (DMU<sub>5</sub> and DMU<sub>6</sub> are same cross-efficiency value).

**Table 18**  
The ranking of DMUs for seven biomass fuel briquettes

DMUs	CCR	Rank	Benevolent	Rank	Aggressive	Rank	Proposed method	Rank
1	0.6463	3	0.6372	3	0.4935	3	0.5652	3
2	0.4900	4	0.4852	4	0.3580	5	0.4215	4
3	0.4327	6	0.4293	6	0.3170	7	0.3730	7
4	0.4803	5	0.4756	5	0.3549	6	0.4151	5
5	1.0000	1	0.9476	2	0.8892	2	0.9184	2
6	1.0000	1	1.0000	1	0.9834	1	0.9917	1
7	0.3980	7	0.3978	7	0.3749	4	0.3864	6

**Table 19**  
The correlation test for seven biomass fuel briquettes

	CCR	Benevolent	Aggressive	Proposed method
CCR	1.000	0.991	0.775	0.955
Benevolent	0.991	1.000	0.786	0.964
Aggressive	0.775	0.786	1.000	0.893
Proposed method	0.955	0.964	0.893	1.000

As seen in Table 19, after the Spearman correlation test, the Spearman's rank correlation coefficients for the proposed method and CCR efficiency value, benevolent efficiency value and aggressive efficiency value are calculated as  $r_s = 0.955$ , 0.964 and 0.893 respectively. In a comparative analysis, it is believed that the proposed ranking method should be more valuable and applicable than stand-alone ranking methods.

**5. Conclusions**

This paper presents a novel aggregated method to solve the ranking problems, under multiple inputs, multiple outputs and multiple DMUs. The proposed method was tested with three numerical examples (The six nursing homes, fourteen

international passenger airlines and seven biomass fuel briquettes). We first utilized benevolent and aggressive models to evaluate the efficiency rating of DMUs. The results of each model were used to generate a decision matrix. In the decision matrix, the results of benevolent and aggressive models were viewed as criteria and DMUs were viewed as alternatives. Secondly, the weights of each criterion were generated by the CRITIC method. Finally, each DMU was evaluated and ranked. The proposed method is useful and applicable to rank DMUs, which differ from other stand-alone ranking models. We believe that the proposed ranking method can be used to tackle other ranking problems in real-world situations.

For future research, the limitations of this paper lie in that only three numerical examples were studied. Application of the proposed ranking method should be tested with more cases of ranking problems in real-world situations to enhance the validity of the research output further.

### Acknowledgement

The authors are very grateful to the Department of Industrial Engineering, Kalasin University for supporting this research. Finally, the authors would like to thank the reviewers for their valuable comments and recommendations which enabled the improvement of the quality of this paper.

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